The Fundamental Theory of Low Noise Oscillators with Special Reference to Some Detailed Designs IEEE Frequency Control Symposium Tutorial 6th June, 2000, Kansas City Jeremy KA Everard **Department of Electronics**

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Low Noise Oscillators

- Oscillator models
- Noise theories for thermal (additive noise)
- Optimisation for minimum sideband noise
- Flicker noise measurement and reduction
- Oscillator designs
 - LC oscillators
 - SAW oscillators
 - Transmission line oscillators
- Tuning varactor limitations
- Non-linear CAD
- Detailed designs



Oscillator Models





OSCILLATOR THEORY



Model by Splitting original input into 2 identical inputs

One for noise injection

One for feedback

$$\frac{V_{OUT}}{V_{IN2}} = \frac{G}{1 - (\beta G)}$$

Model like Op-Amp with two inputs added and $\beta_0 G = 1$



Resonator Response

$$\beta = \left(\frac{R_{IN}}{R_{IN} + R_{OUT}}\right) \left(1 - \frac{Q_L}{Q_0}\right) \frac{1}{\left(1 \pm 2jQ_L \frac{df}{f_o}\right)}$$

Insertion loss increases as Q_L tends to Q_0

If $R_{OUT} = R_{IN}$ then the insertion loss of the resonator is $S_{21} = 2\beta$, therefore:

 $S_{21} = 6dB$ when $Q_L/Q_0 = 1/2$

$$S_{21} = \left(1 - \frac{Q_L}{Q_0}\right) \frac{1}{\left(1 \pm 2jQ_L \frac{df}{f_o}\right)}$$

 $S_{21} = 9dB$ when $Q_L/Q_0 = 2/3$



Resonator response versus $Q_L/Q_0 = 0.1, 0.5, 2/3, 0.9$



At resonance Δf is zero and V_{OUT}/V_{IN2} is very large



simplifies to:

$$\frac{V_{OUT}}{V_{IN2}} = \frac{G}{\pm 2jQ_L\frac{\Delta f}{f_o}} = \frac{1}{\left(1 - Q_L/Q_0\right)\left(\frac{R_{IN}}{R_{OUT} + R_{IN}}\right)\left(\pm 2jQ_L\frac{\Delta f}{f_0}\right)}$$

Noise in terms of power in 1Hz BW

- Calculate input noise power in 1Hz BW
 initially calculate square of I/P voltage
- Assume O/P power limited

– or at least always calculate in terms of O/P \ast

- Equation starts to break down very close to carrier at offsets typically << 1Hz
 - this is not usually a problem

$$V_{IN} = \sqrt{FkTR_{IN}} \qquad \text{Noise input}$$
$$(V_{OUT} \Delta f)^2 = \frac{FkTR_{IN}}{4(Q_L)^2 (R_{IN}/(R_{OUT} + R_{IN}))^2 (1 - Q_L/Q_0)^2} \left(\frac{f_o}{\Delta f}\right)^2$$

Separate constants and variables

$$(V_{OUT} \Delta f)^{2} = \frac{FkTR_{IN}}{4(Q_{0})^{2} (Q_{L}/Q_{0})^{2} (R_{IN}/(R_{OUT} + R_{IN}))^{2} (1 - Q_{L}/Q_{0})^{2} (\frac{f_{o}}{\Delta f})^{2}}$$



$$L_{FM} = \frac{\left(V_{OUT} \ \Delta f\right)^{2}}{\left(V_{OUT \ MAX \ RMS}\right)^{2}}$$
$$L_{FM} = \frac{FkTR_{IN}}{8(Q_{0})^{2} (Q_{L}/Q_{0})^{2} (1 - Q_{L}/Q_{0})^{2} (R_{IN}/(R_{OUT} + R_{IN}))^{2} (V_{OUT \ MAX \ RMS})^{2}} \left(\frac{f_{O}}{\Delta f}\right)^{2}$$

Define power P_{AVO} or P_{RF}



Noise spectrum of oscillator



$$P_{RF} = \frac{\left(V_{OUT \ MAX \ RMS}\right)^{2}}{R_{OUT} + R_{LOSS} + R_{IN}}$$
$$L_{FM} = \frac{FkT(R_{OUT} + R_{IN})^{2}}{8(Q_{0})^{2} (Q_{L}/Q_{0})^{2} R_{IN} (1 - Q_{L}/Q_{0})^{2} P_{RF} (R_{OUT} + R_{LOSS} + R_{IN})} \left(\frac{f_{O}}{\Delta f}\right)^{2}$$

The ratio of sideband noise in a 1Hz BW at R_{OUT} just
dissipatesoffset Δ fto the total power is therefore:power!

$$L_{FM} = \frac{FkT}{8(Q_0)^2 (Q_L/Q_0)^2 (1 - Q_L/Q_0)P_{RF}} \left(\frac{R_{OUT} + R_{IN}}{R_{IN}}\right) \left(\frac{f_0}{\Delta f}\right)^2$$

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If R_{OUT} is zero as in a high efficiency oscillator

$$L_{FM} = \frac{FkT}{8(Q_0)^2 (Q_L/Q_0)^2 (1 - Q_L/Q_0)P_{RF}} \left(\frac{f_0}{\Delta f}\right)^2$$

If $R_{OUT} = R$ in

Most amplifiers have similar I/P and O/P impedance

$$L_{FM} = \frac{FkT}{4(Q_0)^2 (Q_L/Q_0)^2 (1 - Q_L/Q_0)P_{RF}} \left(\frac{f_0}{\Delta f}\right)^2$$

Power available at the output P_{AVO} then:

$$P_{AVO} = \frac{\left(V_{OUT \ MAX \ RMS}\right)^{2}}{4 R_{OUT}}$$

$$L_{FM} = \frac{FkT}{32(Q_{0})^{2} (Q_{L}/Q_{0})^{2} (1 - Q_{L}/Q_{0})^{2} P_{AVO}} \left(\frac{(R_{OUT} + R_{IN})^{2}}{R_{OUT} \cdot R_{IN}} \left(\frac{f_{O}}{\Delta f}\right)^{2}$$

$$\left(\frac{\left(R_{OUT} + R_{IN}\right)^2}{R_{OUT} \cdot R_{IN}}\right) = 4 \quad \text{minimum when } R_{OUT} = R_{IN}$$

If $R_{OUT} = R_{IN}$

$$L_{FM} = \frac{FkT}{8(Q_0)^2 (Q_L/Q_0)^2 (1 - Q_L/Q_0)^2 P_{AVO}} \left(\frac{f_0}{\Delta f}\right)^2$$

General equation which describes all three cases

$$L_{FM} = A \cdot \frac{FkT}{8 (Q_0)^2 (Q_L/Q_0)^2 (1 - Q_L/Q_0)^N P} \left(\frac{f_0}{\Delta f}\right)^2$$

1. N = 1 and A = 1 if P is defined as P_{RF} and $R_{OUT} = zero$

2. N = 1 a nd A = 2 if P is defined as P_{RF} and $R_{OUT} = R_{IN}$

3. N = 2 and A = 1 if P is defined as P_{AVO} and $R_{OUT} = R_{IN}$

The effect of the load

- Load not included so far
- Incorporate as coupler/attenuator at O/P of amplifier which causes:
 - Reduction in open loop gain
 - Increase in amplifier noise figure
 - NB Closed loop gain does not change as this is set by the insertion loss of the resonator
- Effect of load reduced if amplifier has zero/low O/P impedance

Optimisation for minimum noise

• The amplifier gain and resonator loaded Q are directly linked:

$$S_{21} = (1 - Q_L / Q_0)$$

- The noise factor is also dependent on loaded Q due to the change in source impedance
 - This is a second order effect and will be considered later

OPTIMISATION FOR MINIMUM NOISE

$$L_{(fm)} = \frac{FKT}{8Q_0^2 (Q_L/Q_0)^2 (1 - Q_L/Q_0) PFED} \times \left[\frac{f_0}{\delta f}\right]^2$$

For minimum noise if F is constant

 $\frac{\delta \left(L_{(fm)} \right)}{\delta \left(Q_{L} / Q_{o} \right)} = O$

MINIMUM NOISE OCCURS WHEN: $Q_L / Q_0 = 2/3$ and G = 3



Sideband noise v. Q_L/Q_o



Noise vs QL/Qo







What happens if the power that is limited is defined as Power available at the input of the amplifier

The noise equation now becomes

but the gain has now disappeared

One therefore expects that Q_L should be high and made close to Q_O

However if QL tends to Qo,

the amplifier gain and hence power have to be

Infinite

High efficiency 1GHz oscillator







Figure 4 High Q Class E amplifier Load Network

Figure 5, PCB layout





Figure 14 Phase Noise Performance of Optimised Oscillator


Figure 11 Noise Figure Measurement System.

Double transmission line filter



Flicker noise: measurement and reduction



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FLICKER NOISE PRODUCES NOISE DEGRADATION IN OSCILLATORS





NOISE PERFORMANCE OF GaAs OSCILLATOR



Ev



FLICKER NOISE MODEL

FLICKER NOISE MEASUREMENT SYSTEM





03-14-1990 b:f3pm3.DAT

pm 200-2KHz



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X: 0 to 20.4ms. Y: CH.1: -140mV to 138.mV. CH2: -28mV to 27.7mV.

am 200-2KHz

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CURRENT METHODS FOR TRANSPOSED FLICKER NOISE REDUCTION

- 1. Direct LF reduction
- 2. RF Detection and LF Cancellation
- 3. Transposed Gain Amplifiers
- 4. Feedforward Amplifiers

DIRECT LF REDUCTION

Noise reduction was discussed by Riddle and Trew, 1985, who designed the amplifier using a pair of FETS operated in push pull at the microwave frequency but operated in parallel at low frequencies via a low frequency connection between the two bias networks.

Pringent and Obregon, 1987, used a bias network with a low frequency negative feedback. This reduced the device gain at low frequencies and at the same time reduced the baseband and transposed flicker noise. This assumed that the majority of the Flicker noise was generated by a gate noise source modulating the input non linear capacitor of the GaAs Fet.

An elegant implementation of the same idea was produced by Mizukami et al 1988 who developed a GaAs mmic in which the impedance presented to the source was arranged to rise at low frequencies. This method would be more difficult to implement with discrete FETs as the parasitics need to be very low indeed.

These methods have all reduced the low frequency flicker noise present at the device terminals, but this often does not necessarily correlate well with the oscillator flicker noise reduction. The transposed flicker noise depends on the nature of the internal noise sources, and the transposition mechanism. All of these vary greatly between device manufacturers.

Flicker Noise Reduction in GaAs Oscillators Z.Galani, M.J. Bianchini, R.C. Waterman, R. Dibiase, R.W Laton and J.B. Cole, IEEE Trans. MTT 32 1984





Ultra low noise frequency discriminator for lowest noise X band oscillators - Ivanov, Tobar and Woode [32], [33]

Wide Bandwidth Flicker Noise Reduction in GaAs Amplifiers M. Driscoll, FCS 1995















Amplifier Module 10kHz to 200MHz

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White with hills

21±0.5dB Gain P_{1dB}10dBm Noise temp<250K delay 1.3nS (inverting) 15-24VDC@60mA

Phase Noise Performance

- $F_0 = 7.6 GHz$
- $Q_0 = 44,000$
- $P_{AVO} = 8 dBm (6.3 mW)$
- Noise Figure = 15dB including image noise
- Flicker noise corner ~ 1kHz
- $L_{FM} = -136 dBc@10 kHz$ (theory -139dBc)

Problems with TGO

O/P power max ~ 8dBm NF ~ 15dB Therefore use FEEDFORWARD





Residual flicker noise reduction in 1 Watt GaAs Feedforward Amp Broomfield and Everard FCS 2000

Oscillator designs

- LC
- SAW
- Transmission Line
- Helical
- Tuning
- Detailed designs
 LC
 - Transmission line





VID AVG 30, RES BW 300 Hz, VBW 300 Hz, SWP 1.0s. Oscillator phase noise against frequency.



SURFACE ACOUSTIC WAVE RESONATOR

Interdigital Transducers

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Reflector

Reflector

262MHz Surface Acoustic Wave Oscillator



262 MHz SAW Oscillator

• Phase noise performance of -130dBc/Hz at 1kHz offset - limited by measurement

 Montress, Parker, Loboda and Greer [20] demonstrated high power 500MHz SAW oscillators with -140dBc/Hz at 1kHz offset

TRANSMISSION LINE OSCILLATOR


Frequency Response of Resonator



Close to the resonant peak and for small αL (< 0.05) and $\delta f/f_0 << 1$, $f_0 = (v_{eff}/2L)\{ 1 + (1/\pi)tan^{-1}(2/X)\},$ $f_0 = resonant$ frequency and $\delta f = f - f_0$ $S_{21}(\delta f) = S_{21}(0)/\{1 + j2Q_L(\delta f/f_0)\}$ $S_{21}(0) = 1/\{1 + (\alpha L/2)X^2\}$ $Q_L = \pi S_{21}(0)X^2/4$

From the last two equations it can be seen that the insertion loss and the loaded Q factor of the resonator are interrelated.

As the shunt capacitors (assumed to be lossless) are increased the insertion loss increases towards <u>infinity</u> and Q_L increases to a <u>limiting value of $\pi/2\alpha L$ </u> which we will define as Q_0 .



Noise performance of 1.5GHz Osc.

- $Q_0 = 83$, $\alpha l = 0.019$, substrate $\mathcal{E}_r 10$
- O/P power = 3.1dBm
- Noise Figure = 3dB
- Noise performance = -104dBc/Hz @ 10kHz
- Within 2dB of the theory

HELICAL RESONATOR OSCILLATOR



HELICAL RESONATOR



Helical Resonator



Helical resonator oscillators [22]

- 900 MHz, $Q_0 = 582$
- O/P power = 0 dBm
- Noise Factor = 6dB
- Noise performance =
- -127dBc/Hz @ 25kHz
- Within 2dB of theory

- 1.6GHz, $Q_0 = 382$
- O/P power = 0dBm
- Noise Figure = 3dB
- Noise performance =
- -120dBc/Hz @ 25kHz
- Within 2dB of theory

 Z_0 of helix = 340 Ω measured using Time Domain Reflectometry





STRIPLINE RESONATOR

EQUIVALENT CIRCUIT

 $Q_0 > 500$ at 5GHz on low loss $\mathcal{E}_r 2.5$ PCB $Q_0 = 380$ at 4.8 GHz on $\mathcal{E}_r 10$ [23] results without screening, therefore near zero radiation loss







5 section 4.5GHz bandpass filter on $E_{r}10$ [23]

low radiation loss

spurious out of band waves exist

Tuning: Varactor Limitations

OSCILLATOR TUNING - 2 Main types

1. Tunable Resonators Offers broadband and narrowband tuning.

For low noise reduce loading caused by varactor to minimum



Noise degradation due to varactors Power calculation by - Underhill [25]

- Power dissipated in varactor loss resistor, rs, is: $P = (V_{RS})^2 / rs$
- The voltage across the capacitor in the resonator is: $V_C = QV_{rs}$
- Therefore the power dissipated in the varactor is: $P_V = V_C^2/Q^2 rs$
- The noise power in oscillators is proportional to $1/PQ_0^2$

• The figure of merit (V_c^2/rs) should therefore be as high as possible

• Optimum performance obtained for large voltage handling characteristics and small series resistances in varactor

Apply this using new noise equations

• If P is defined as P_{AVO} , $Q_L/Q_0 = 1/2$ and $R_{out} = R_{in}$: then A= 1 and N = 2 then

$$L_{FM} = \frac{2FkT}{Q_0^2 P_{AVO}} \left(\frac{f_0}{\Delta f}\right)^2 \qquad \left[As \left(L_{FM} = A \cdot \frac{FkT}{8(Q_0)^2 (Q_L/Q_0)^2 (1-Q_L/Q_0)^N P} \left(\frac{f_0}{\Delta f}\right)^2\right)\right]$$

• As
$$P_{AVO} = 2P_V$$
 then $L_{FM} = \frac{FkTrs}{V_C^2} \left(\frac{f_0}{\Delta f}\right)^2$

Noise performance only dependant on V_C and rs

Example

 A varactor with a series resistance of 1Ω with an RF voltage of 0.25V rms at a frequency of 1GHz.

• The noise performance at 25kHz offset is -97dBc for an amplifier noise figure of 3dB

Improved by

- Reducing the tuning range by coupling varactor into resonator more lightly
- switching in tuning diodes using PIN diodes
- Increased voltage handling using back to back diodes
- improving the varactor

Varactor bias noise

- Flat noise spectral density on bias line causes (1/Δf)² noise in oscillator - same as thermal noise in oscillator -
- For low level modulation:

$$L_{FM} = \frac{\left(K_F V_M\right)^2}{\left(2F_M\right)^2}$$

 K_F = tuning sensitivity, Hz/Volt V_M = noise voltage, Volts/ \sqrt{Hz} F_M = offset frequency, Hz

Bias resistor noise $\frac{2}{e_n} = 4kTBr_b$

- Keep bias resistor value low
 - less than few hundred ohms eg 50Ω
- Use this to advantage in resonator design to suppress unwanted higher order resonances

TUNABLE TRANSMISSION LINE RESONATOR





3-6GHz resonator (5mm) on alumina Two Alpha diodes CVE7900D C_{j0} =1.5pf, Q (-4V, 50MHz) = 7000 k (capacitance ratio) = 6 [26], [27] Insertion loss and Q vs frequency, 3-6GHz resonator





2 x 1 mm

8.4 - 9.8GHz GaAs MMIC resonator [27] Variation of Q_L/Q_0 and S_{21} vs frequency

NOISE DEGRADATION DUE TO OPEN LOOP PHASE ERROR

Effects of open loop phase error [24]

- Always oscillate at N*360°
- Resonator Q degradation as $Q \propto d\phi/d\omega$
- Insertion loss and hence gain increase
- Causes $Cos^4 \phi$ degradation in noise performance
- 45° causes 6dB noise degradation
- eg: At 10GHz with DRO Q=10,000, 1MHz offset causes 6dB degradation



Non linear CAD for oscillators

Non Linear CAD

- Break Circuit at short circuit point
- Place current source and frequency dependent resistor at this point
- Make resistor:
 - » Open circuit at fundamental
 - » Short circuit at harmonics
- Adjust amplitude and fundamental frequency of current source to obtain zero volts.

OPTIMISATION TECHNIQUE

$Z_{\omega} = O/C$ at fundamental and S/C at harmonics



TYPICAL OSCILLATOR CIRCUIT



Comparison of computed and measured data

	Predicted	Measured
Resonant Frequency	5.47 GHz	5.41 GHz
Ids	26.5 mA	24.0 mA
Vgs	-0.58 V	-0.53 V
Fundamental	9.3 dBm	8.6 dBm
1 st harmonic	- 14.7 dBm	- 17 dBm
2 nd harmonic	- 20.1 dBm	- 24 dBm

Measurement of coil Q

Adjust coil overlay to obtain low coupling

Place tuned circuit in between coils and measure response

raise to reduce coupling to obtain Q₀



Summary - low phase noise

- High unloaded Q and low noise figure
- Set resonator coupling to achieve $Q_L/Q_0 = 1/2 \rightarrow 2/3$
- Set the open loop phase error to be N.360
- Use a device and circuit configuration producing the lowest transposed flicker noise corner ΔF_C

Summary - low noise tuning

- Incorporate varactor loss resistor into resonator and set Q_L/Q_0 as before
- For narrow band tuning
 - loosely couple varactor into resonator and set Q_L/Q_0 as before or consider
 - low loss phase shifter in the feedback loop.
 Expect 6dB noise degradation if open loop phase error goes to 45 degrees
- Arrange for low bias line noise eg $r_b=50\Omega$

LC Design Example

Design Example

Design a 150 MHz oscillator using:

1. 235nH inductor with a Q_0 of 300.

2. An inverting amplifier with an input and output impedance of 50Ω
An LC resonator with losses can be represented as an LCR resonator as shown in Figure 24.

Figure 24 Model of LC resonator including losses

As
$$Q_0 = \frac{\omega L}{R_{loss}}$$

The equivalent series resistance is 0.74Ω .

Assuming the amplifier has an O/P impedance $R_{\text{out}},$ The ratio Q_L/Q_0 is:

$$\frac{Q_L}{Q_o} = \frac{R_{loss}}{R_{loss} + R_{in} + R_{out}}$$

For $R_{in} = R_{out}$

$$\frac{Q_L}{Q_o} = \frac{R_{loss}}{R_{loss} + 2R_{in}}$$

Let
$$\frac{Q_L}{Q_o} = \frac{1}{2}$$
 as the P_{AVO} definition can be used.

then
$$R_{loss} = 2 R_{in}$$
 then $R_{in} = \frac{R_{loss}}{2}$

Therefore $R_{in} = R_{out} = 0.37\Omega$ as shown in Figure 25.



Figure 25 LC resonator with scaled source and load impedances

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As amplifier has 50Ω input and output impedances:

Use LC transformer as shown in Figure 26.



Figure 26 LC transformer to convert to 50Ω

The equations for the series and shunt components are:

$$Q_s = Q_p = \sqrt{\left(\frac{R_p}{R_s} - 1\right)}$$



The Q of the series component is:

The Q of the shunt component is:

$$Q_p = \frac{R_p}{X_p}$$
 Note:

 R_p = shunt resistance

 $R_{\rm S}$ = series resistance

 $X_{s} = \text{series reactance} = j\omega L$ $X_{p} = \text{shunt reactance} = \frac{1}{j\omega c}$

$$Q_s = Q_p = \sqrt{\left(\frac{R_p}{R_s} - 1\right)} = \sqrt{\left(\frac{50}{0.37} - 1\right)} = 11.58$$

$$X_s = 4.28 = j\omega L$$

L = 4.5 nH

$$X_{\rm p} = 4.31 = \frac{1}{j\omega C}$$

C = 246 pf

Incorporate 2 transforming circuits into resonator circuit as shown in Figure 27



Figure 27 LC resonator with impedance transformers

As the total inductance is 235nH, the part that resonates with the series capacitor is reduced by 9nH as shown in Figure 28.



Figure 28 Resonator with total L = 235nH

It is now necessary to calculate the resonant frequency.

The part of the inductance which resonates with the series capacitance is reduced by the matching inductors to:

 $235nH - (2 \times 4.5 nH) = 226 nH$



The circuit now becomes:

Note the value of shunt capacitors: 246pf!



Figure 29 Final resonator circuit



Simulation of insertion loss, S_{21} , of resonator

Effect of parasitic components

- What is the effect of the parasitics in the shunt capacitors
- Investigate the effect of both a 1nH and 2nH parasitic inductance
- This increases the effective capacitance as close to resonance (reduces impedance)



Effect of parasitic inductance in shunt C Yellow = 1nHGreen = 2nH - correct for this by reducing C from 246pf to 174pf Note the phase shift at resonance is 180°.

So the amplifier should provide a further 180°.

If necessary a phase shifter should be included to ensure N x 360° at the peak in the resonance as shown in Figure 30.



Figure 30 Oscillator incorporating phase shifter

1 GHz Transmission Line Osc.

• Design a 1GHz Transmission line Oscillator use 1.5mm FR4 PCB, $Z_0 = 50\Omega$, $\varepsilon_{eff} = 3.3$



Find loss of line

- Measure loss of known length of line OR
- Build a number of resonators with varying Q_L . Extrapolate to Q_0 by drawing straight line as $S_{21} = (1 Q_L/Q_0)$
- Simulate using field model
- Note that the loss of 'low loss' transmission lines can be deduced from resonator measurements

Calculate parameters

- From measurement $Q_0 = 39.3$
- $\alpha L = 0.04$
- For $_{\rm L}/Q_0 = 1/2$ $X = \sqrt{\frac{2}{\alpha L}}$
- X = 7.07 therefore as X= $2\pi f_0 C Z_0 = -Z_0/2\pi f_0 l$ - inductor l = 1.125nH
 - capacitor C = 22.5pf
- $L = \left(\frac{V_{eff}}{2f_0}\right) \left(1 + \left(\frac{1}{\pi}\right) \tan^{-1} \sqrt{2\alpha L}\right)$
- Line length=7.53cms for 1 and 8.98cms for C



Transmission line resonator response using shunt inductor

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